



CVM
UNIVERSITY

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Rules and Regulations for Ph.D. Programme

(Effective from September - 2020)

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R. D. 1. Eligibility Criteria for Recognition as Ph. D. Guide/ Research Supervisor:

- R.D.1.1:** Only a regular teacher / employee (with the required qualification), of Charutar Vidya Mandal University (CVMU) / Constituent College / P. G. Centre/ College affiliated to CVMU / National or State funded Research Institutions with an MOU for research collaboration with the CVM University, with at least two years of P. G. teaching / research / industrial research or a minimum of four years of experience of teaching at the undergraduate level can act as a Ph.D. Guide. However, Co- Guide may be allowed in inter-disciplinary / multi-disciplinary areas as per the needs with the approval of the duly constituted Faculty (Level) Research Advisory Committee (FRAC).(Dean of the respective Faculty, Principal of the Constituent Institute(s) / HoD (in case of department(s)), Chairperson / Member of Board of Studies, Senior Faculty Member (one / two), External Invitee (if needed) shall be members of the FRAC).
- R.D.1.2:** Any regular Professor of the CVMU / Constituent P. G. Centres of the University / scientists at the professor cadre of National or state funded research institutions with an MOU for research collaboration with the CVMU or outstanding scholars duly approved by the Vice-chancellor / Provost / President as per eligibility norms shall be automatically recognized as Research Guide / Research Supervisor for Ph.D.
- R.D.1.3:** Any regular Associate Professor/Assistant Professor of CVMU or a Constituent P. G. Centre / College affiliated to the University / National or state funded research institution with an MOU for research collaboration with CVMU with a Ph.D. Degree with at least three years of teaching experience and two research publications in peer reviewed refereed journals with ISSN listed in the UGC list of journals / books with ISBN are eligible for recognition as Research Guides for Ph.D.
- R.D.1.4:** In areas/disciplines where there is no or only a limited number of refereed journals published, the University may relax the above condition of publications for recognition of a person as Ph.D. Guide.
- R.D.1.5:** A full-time regular teacher (with qualification prescribed by UGC) with at least ten years of teaching / research experience shall be allowed to work independently for a Ph.D. degree, if there is no recognised Ph.D. Guide available in the University for a subject area in which he/she desires to do research work for a Ph. D. Degree.



- R.D.1.6: A person recognized as a Ph.D. Guide by other UGC recognised universities upon joining this University, shall be automatically recognised as a Ph. D. Guide at this University provided an application is made for recognition in the prescribed format.
- R.D.1.7: The University shall constitute a Committee that will recommend Recognition as a Ph. D. Guide to applicants by evaluating the applications with regard to the fulfilment of the above criteria.

R. D. 2. Eligibility Criteria for Admission to Ph. D. Programme:

Any applicant who fulfils the conditions stipulated in these Regulations is eligible to seek admission to the Ph. D. programme:

- R.D.2.1: A Postgraduate degree holder who has secured at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed), or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions shall be eligible to apply for admission to the Ph. D. programme at this University.
- R.D.2.2: Applicants who hold a Postgraduate Degree/Postgraduate Diploma from an approved University/Institutions or a Course recognized by the UGC/AICTE/DEC and MHRD, Government of India and those with a Postgraduate Degree or Postgraduate Diploma or a Professional degree/course like CA/CS/ICWA are eligible to apply. However, he/she must appear and qualify in the Ph. D. entrance test for the discipline concerned.
- R.D.2.3: A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer) /specially abled and other categories of candidates as per the rules of UGC from time to time, or for those who have obtained their Postgraduate degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above is permissible based only on the qualifying marks.



- R.D.2.4: A candidate whose M. Phil. dissertation has been evaluated and the viva voce is pending may be permitted to apply to the Ph. D. programme. He/she must submit the final notification of M.Phil. degree at the time of counselling.
- R.D.2.5: Syllabi for the entrance examination is as per UGC NET, JRF and AICTE – GATE for the subjects concerned.

R. D. 3. Duration of Ph. D. Programme:

- R.D.3.1: The Ph. D. Programme shall be for a minimum duration of three years, including course work and a maximum of six years.
- R.D.3.2: A Ph. D. Candidate shall be allowed to submit the Ph. D. synopsis after completion of a minimum period of five terms / two and half years. However, submission of the thesis shall be allowed as per the minimum duration of the Ph.D. programme specified in R. Ph. D 3.1, and must be submitted within one year of submitting the synopsis.
- R.D.3.3: Only one-year extension beyond the allowed one-year period post submission of the synopsis may be given for the submission of the thesis if recommended by the FRAC and approved by the University Research Cell (URC)/ Provost / President. Beyond which no further extension is permissible in any case.
- R.D.3.4: Women candidates and Persons with Disability (more than 40% disability) may be allowed relaxation of two years for Ph. D. in the maximum duration. In addition, women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph. D. for up to 240days.

R. D. 4. Procedures for Admission:

- R.D.4.1: The URC/ FRAC shall admit Ph. D. scholars as per the eligibility criteria for admission to the Ph. D programme (R.D. 2). Admissions shall be offered twice preferably in July/August and December/January every year in the available subject discipline.
- R.D.4.2: The URC/FRAC shall admit without entrance test, PhD scholars who have obtained M Phil and/or have qualified UGC-NET/ NET-JRF/ UGC-CSIR NET / GSET/ GATE / INSPIRE Fellowship / Teacher Fellowship in the respective faculty as per the University PhD Guidelines. These candidates will be



required to make a presentation, attend counselling and follow all other procedures for PHD admission.

- R.D.4.3: University P. G. Departments/ National or State level research Institutions with an MOU with the University / P. G. Centers /Colleges affiliated to CVMU which are allowed to conduct Ph. D. programmes shall:
- R.D.4.4: Decide the number of Ph. D. scholars to be admitted depending on the number of available Ph. D. Guides and other academic and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio, laboratory, library and such other facilities and display on the University website the number of seats for admission, subject/discipline-wise distribution of available seats and admission process.
- R.D.4.5: The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned. The University Research Cell (URC) shall admit applicants for admission through a two-stage process:
- R.D.4.5 (A): An entrance test at the first stage with relative qualifying marks. A relaxation of 5% marks will be given to SC/ST/OBC (non-creamy layer) / specially- abled candidates on production of relevant valid documents. The syllabus of the Entrance Test shall cover (a) research methodology and the contents in the respective subject-discipline; and (b) discipline / domain specific content as prescribed by UGC-NET/JRF/AICTE.
- R.D.4.6: The list of candidates qualifying in the entrance test shall be prepared by the URC /University and candidates will be informed about the same. However, the fulfilment of this qualification alone shall not be considered a secured admission to the Ph. D. programme.
- R.D.4.7: The candidate who has qualified the Entrance Test will be eligible to apply for the admission whenever he/she desires as per University Ph.D. rules.
- R.D.4.8: At the second stage, an interview during the counselling for admission shall be arranged. The candidate is required to discuss his/her research interest/area through a presentation before a committee duly constituted by FRAC.
- R.D.4.9: The interview during the counselling for admission shall also cover the following aspects, viz. whether: (a) the candidate possesses the competence for the proposed research; (b) the research work can be suitably undertaken at the University P. G. Department/Research Institution/Colleges (c) the proposed area of research can contribute to new/additional knowledge.



- R.D.4.10: A candidate seeking admission to Ph. D. in a subject falling in a faculty other than the faculty in which he/she has obtained his/her Postgraduate degree may be considered for admission to Ph. D. , provided a Special committee consisting of (a) Deans of the respective faculties involved; (b) The FRAC of the subject concerned in which the admission is being sought; (c) proposed Research Guide/Research Supervisor; grants permission to undertake the proposed interdisciplinary / multi-disciplinary research.
- R.D.4.11: The list of qualified/short listed candidates along with name of allocated Ph. D. Guides shall be displayed on the University website. The candidate shall register within one month of the publication of the list. If the candidate does not register for Ph.D. after admission without any valid reason, she/he shall forfeit the validity of the entrance test.
- R.D.4.12: The University shall maintain/display the list of all the Ph. D. Registered scholars. The list shall include the name of the registered candidate, topic of his/her research, name of his/her Guide, Co-Guide (if any), registration number and date.
- R.D.4.13: No candidate shall be allowed to register simultaneously for other degree/diploma programmes without written permission of CVMU, after registering for Ph. D. If, at any point of time, it is found to be otherwise, his/her admission shall ipso facto stand cancelled, and all the fees and deposits, if any, paid by the candidate shall stand forfeited. The University shall take legal and/or disciplinary action or both, as it may deem fit, in such cases.

R. D. 5. Special Provisions for Admissions for Select Groups:

R.D.5.1: International Scholars

- R.D.5.1.1: International scholars shall be exempted from taking the Ph. D. Entrance Test as they cannot be admitted without a valid Research Visa endorsed in favour of a given University as per the guidelines issued by Government of India. The admission letter issued by a given University forms the basis for our embassy/high commission abroad to issue them a valid Research Visa endorsed in favour of such a University for the entire duration of their Ph. D. Programme. It applies also to International students possessing a foreign passport who have obtained a Postgraduate degree from any of the UGC recognized Indian Universities.
- R.D.5.1.2: Any recognized Ph. D. Guide in the subject-discipline in which an International Scholar has applied for admission shall be allowed to take International Scholar applicants against 15% supernumerary seats in



addition to the prescribed number of scholars in R. D. 7.3. on the basis of directive from the Government of India.

R.D.5.1.3: No International Scholar offered admission to Ph. D. programme in CVMU shall be admitted unless he/she has a Research Visa endorsed in favour of this University.

R.D.5.1.4: An International Scholar registered for Ph. D. in this University who does not reside on the campus shall be required to stay on the campus for at least three months in parts: for ten days to complete the process of admission, and a month each every year for completing course work during the period of his/her research, besides fulfilling the other requirements outlined in these rules. He/she shall present the work done through seminars (in English without any language translator) before the FRAC before submitting his/her thesis. The research scholar's Guide and the Department concerned shall keep all records including his/her attendance and submit the same to the University from time to time.

R.D.5.1.5: Except for the special provision made for the admission of International Scholars, all the other rules applicable to Ph. D. Scholars shall also be applicable to the International Scholars of this University.

R.D.5.2: Professionals/Civil Servants:

R.D.5.2.1: Professionals from corporate bodies/scientists from National level research institutes funded by Govt. of India or State Govt./civil servants with a minimum of 5 years experience and at least one publication in refereed journal with ISSN /books with ISBN, shall be exempted from the Ph. D. Entrance Test.

R.D.5.2.2: The interview during the counselling for admission to Ph. D. programme shall consist of (i) a research proposal in the area of the research interest submitted and presented before the FRAC at the time of interview during counselling for admission.

R. D. 6. Ph. D. Guides:

R.D.6.1: The FRAC shall decide on the allotment of a Ph. D. Guide for each of the selected research scholars, depending on the number of scholars per Ph. D. Guide, the available specializations with the Ph. D. Guides, and the research interest of the research scholar as indicated by him/her during counselling-cum-interview session. The allotment of a Ph. D. Guide shall, in no case, be left to the individual scholar or the guide.



- R.D.6.2: In case of topics of inter-disciplinary / multi-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside the Department may appoint a Research Guide from the Department itself, who shall be known as the Research Guide, and a Co-Guide from outside the Department/Faculty/College/Research Institutions on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges. Co- Guide from outside the University may be appointed provided he/she fulfils all the terms and conditions set for Ph. D Guides of this University.
- R.D.6.3: A Ph.D. Guide who is: A Professor shall guide / supervise eight (08) Ph. D. Scholars; Associate Professor six (06) Ph. D. Scholars; and Assistant Professor four (04) Ph. D. Scholars at any given point of time.
- R.D.6.4: A seat shall be considered to be vacant after a registered research scholar submits his/her Synopsis for Ph.D. or fails to remit the requisite fees for a period of one semester.
- R.D.6.5: When a Ph. D. Guide retires or leaves the College/P. G. Centers/ research institutions, the Ph. D. Scholar shall be transferred to another Ph. D. guide in same subject discipline of the same College/P. G. Centers/ research institutions. If there is no Ph. D. guide available in the subject concerned in that institution, the FRAC shall transfer the scholar to a guide in the University P. G. Department/another college/Institution. Such transferred scholar shall be counted as super numeracy over the total allowed number of Ph.D. students of the respective category. The issues related to the fee structure in such cases shall be resolved in consultation with the URC / Provost.
- R.D.6.6: If a Ph.D. Guide leaves the college and joins the University P. G. department /another college / P. G. Centre/Institution recognized as per R Ph.D. 15.3 by the University, the transfer of the scholar to another Ph. D. guide is not required. However, the student shall be transferred to the Guide's present institution on request by the student and guide and recommended by the FRAC. The FRAC's decision on such cases and the issues related to the fee structure shall be approved and resolved by the Provost.
- R.D.6.7: In case a Ph. D. Guide leaves the University/ College/P. G. Centre/Institution due to superannuation / resignation, a research student registered under him/her shall be transferred, through the FRAC, to another Ph. D. guide in preferably same subject having same specialization if the scholar does not submit his/her synopsis within six months from the date of the original guide's departure. However, the original guide shall be allowed to continue as co-guide until such time as the Ph. D. Scholar submits his/her thesis for the Ph. D. degree.



R.D.6.8: The University shall, on the recommendation of the FRAC, transfer the scholars registered under a Ph. D. Guide to another Ph. D. Guide in the same subject discipline within six months if the original Ph. D. Guide is unable to provide guidance due to unavoidable circumstances.

R. D. 7. Matters Related to Fees:

R.D.7.1: A research scholar admitted to any of the Ph. D. Programmes in the University shall pay fees as decided by the University from time to time. Failure to pay fees for two consecutive terms shall lead to automatic cancellation of Ph.D. registration.

R.D.7.2: A registered Research Scholar shall submit his/her thesis within stipulated time, failing which he/she has to take extension as per R. D. 3 and he/she shall be required to pay fees up to the time he/she submits his/her thesis along with penalty fee decided by the University as per rules.

R.D.7.3: In case of a Ph. D. research scholar failing to submit his/her thesis within the stipulated time after submission of his/her synopsis failing which he/she has to take extension as per R. D. 12.3 and he/she shall be required to pay the term fee with penalty decided by the University as per rules.

R.D.7.4: Fees once paid shall not be refunded in any case.

R. D. 8. Course Work Details:

R.D.8.1: Once a research scholar is admitted to Ph. D. programme, he/she shall be required to undertake course work asunder:

R.D.8.2: A four credits Research Methodology course is mandatory for all the research scholars across the faculties as part of their Pre-Ph.D. course work. The course shall include research methods, research ethics, ICT applications and review of published research works etc. The mode of conducting this course shall be notified by the University from time to time.

R.D.8.2.1: One other course of two credits which includes advanced level course materials related to the subject area (covering Tutorials / Simulations / Experiments / Assignments etc.) relevant to the research topics prepared and approved by the FRAC shall be conducted by the respective department/ institutes/ affiliated P G center where the student is admitted.



- R.D.8.3: All courses prescribed for Ph. D. course work shall be approved by concerned academic bodies of the University. Implementation and Assessment of the course work shall be done as per guidelines prescribed by this University for the course work (Appendix -1).
- R.D.8.4: A Research Scholar has to clear the stipulated courses within the first two terms from the date of admission. Women candidates shall be given a relaxation of two more terms in case of maternity.
- R.D.8.5: The FRAC shall maintain proper records of teaching and evaluation. The final results of the course work shall be submitted to the University.
- R.D.8.6: This course work assigned to the candidate, in the University P.G. Department / recognized research institutions where the scholar is admitted or in a sister P. G. Department within the University, shall be duly certified by the FRAC in the subject concerned, and shall be treated as the prescribed Ph. D. Course work.
- R.D.8.7: A Research Scholar should successfully complete the course work in order to be eligible to continue the Ph.D. programme.
- R.D.8.8: Grades in the courses shall be finalized by the FRAC and the final grades shall be communicated to the University. Based on the result submitted by the FRAC, the University shall issue a Grade Card / mark sheet of the Ph.D. course work to the candidate.
- R.D.8.9: In case a candidate fails to clear the course work as per R Ph.D.9.6, within the stipulated time prescribed by R Ph.D. 9.3, the concerned FRAC may give an extension of one more term for the clearance of the course work based on a request letter forwarded through and recommended by the guide. On further failure in clearing the course work, FRAC shall take a decision on the continuance or otherwise of the research scholar and communicate to the University for the approval of the Provost.

R. D. 9. Research Committees and their Functions:

Constitution of URC

- Chairman of URC appointed by President / Provost of CVM University
- Deans of various faculty nominated by President / Provost of CVM University
- Experts appointed by President / Provost of CVM University



Constitution of FRAC

- Dean of the Respective Faculty
- Chairperson of Board of Studies
- Senior Faculty nominated by Dean of respective faculty

Constitution of RAC (applicable to UGC Programmes) / RPC (applicable to AICTE Programmes)

- Chairperson of Board of Studies of respective subjects / Representative
- Research Supervisors / Co-supervisor
- One/ Two External Members (as case may be) / Invitee appointed by President / Provost of CVM University

R.D.9.1: In case of recognized Research Institutes/Colleges/P. G. Centers affiliated to this University which run exclusively certain programmes not offered in any of the P. G. Departments of the University, the Provost shall constitute the FRAC consisting of (a) The dean of the concerned faculty (Chairman of the committee); (b) two /three senior faculty members in the subject concerned and (c) one /two Heads of the University.

R.D.9.2: The FRAC shall have the following responsibilities: (i) admission and allotment of research guides to the qualified students; (ii) to review the research proposals and advice to fine-tune the research proposal; (iii) facilitate the research scholar to develop the study design and methodology of research; (iv) prescribe the pre-Ph.D. courses (v) conduct and evaluate the respective Ph. D. course work;(vi) review periodically and assist in the progress of the research work of the research scholar and (vii) to implement and monitor the R. D. rules and regulations in letter and spirit.

R.D.9.3: If a scholar is scheduled to make a presentation before the committee on issues related to her/his course work or progress or any other matter related to Ph.D. work, her/his Ph.D. guide shall be invited if the Committee desires during the time of presentation.

R.D.9.4: The FRAC shall also act as the Departmental Academic Integrity Panel (DAIP) with two members nominated by the Provost consisting of: i) A senior academician from outside the Department and ii) an expert well-versed in anti-plagiarism tools. The functions of the DAIP will be as per the prevailing UGC guide lines on curbing Plagiarism in higher education.



- R.D.9.5.: Candidate upon successful completion of course work shall be recommended by the supervisor for registration as Ph.D. Student. The research progress committee (RPC) will be formed for each candidate. The members of the committee will be identified by the FRAC.
- R. D. 9.5.1: The committee consists of the University representative, the Ph.D. Supervisor and the co-supervisor if exists and two external experts. During each RPC review meeting the presence of supervisor, external expert and the University representative is a must. The RPC review, and final thesis evaluation is conducted by the University and interference of any invited faculty members/students present therein will not be entertained unless they are appointed to be part of the evaluation process.
- R. D. 9.5.2: The primary responsibility of the RPC is to conduct periodic review (Once in every year in accordance with University academic calendar) of the progress made by the research scholar/Ph.D. student. The members of the committee are expected to help the scholar to do quality research at the University by providing proper guidance in the form of relevant suggestions. This committee will submit its evaluation report to the registrar along with suggestions after each RPC meeting. If technical committee members (external expert and the supervisor) unanimously come to a conclusion that the quality and quantity of the research work done by the scholar is sufficient, then the student will be allowed to submit his synopsis and thesis for review to the University. The presentation made by the Ph.D. student where he was allowed to submit the thesis is termed as pre-synopsis presentation. However, student must complete at least three RPC reviews after registration before his work is recommended for submission of the synopsis and the thesis.

R. D. 10. Post-Admission Procedures:

- R.D.10.1: Upon satisfactory completion of course work, and obtaining marks/grade prescribed as per norms / in these regulations, the Research Scholar shall be required to work on and produce a draft thesis within a reasonable time as per R Ph.D.-3.
- R.D.10.2: A research scholar shall appear before RAC / RPC once in a year to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress reports of research scholars shall be submitted the RAC / RPC who in turn will submit them to the FRAC with a copy to the research scholar.



- R.D.10.3: In case the progress of the research scholar is unsatisfactory, the FRAC shall record the reasons for the same and suggest corrective measures in consultation with the respective guide. If the research scholar fails to implement these corrective measures within the next term, the FRAC may recommend to the University with specific reasons for the cancellation of the registration of the research scholar.
- R.D.10.4: In case of relocation of a Ph. D. woman scholar due to marriage or otherwise, the research data generated or collected by the candidate shall be allowed to be transferred to the University to which the scholar intends to relocate on a mutual agreement signed by the respective guides and endorsed by the concerned heads of the two institutes, provided all the other conditions of these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent Institutions / Guide from any funding agency. The scholar will however give due credit to the parent Guide and the Institute for the part of research work already done and the IPR related to the part of research data shall be owned jointly by both the institutes.

R. D. 11. Submission of Thesis:

- R.D.11.1: The Ph. D. scholar must have at least two (2) research papers (published/ accepted for publication) from the Ph. D. work carried out, in refereed journal / journal listed in the UGC recognized research journals with ISSN / Web of Science Journals / SCOPUS before submission of the thesis for adjudication.
- R.D.11.2: Prior to the submission of the thesis, the scholar shall make a presentation of the work to be included in his/her thesis before the FRAC/ RPC which shall also be open to all faculty members, other research scholars and students (Pre-Thesis Seminar(s)). The feedback and comments obtained from them may be suitably incorporated into the draft thesis in consultation with the FRAC/RPC.
- R.D.11.3: If a Research Scholar has submitted his/her synopsis, but fails to submit his/her thesis within the maximum stipulated time of one year, then he/she shall apply through the respective guide to the FRAC. The FRAC shall take an appropriate decision with due justification and recommend for a single term extension to the Provost for a final decision.



- R.D.11.4: Ph. D. scholars shall write their thesis in English with the only exception being those who are registered for a Ph. D. in subjects like: Gujarati, Hindi, or Sanskrit or any other language. However, a Ph. D. scholar registered for a Ph. D. in Gujarati, Hindi, or Sanskrit shall necessarily include a summary of the thesis in English.
- R.D.11.5: The thesis shall contain a Certificate of Originality as given in Annexure-A.
- R.D.11.6: The thesis shall be processed by a plagiarism detection tool and a report sought on it to be put before the respective FRAC for further action so that a decision can be taken on the final submission of the thesis for evaluation. The necessary certificates mentioned in the Guidelines on Plagiarism (Appendix 2) shall be included in the draft thesis. The policy for the plagiarism will be followed as per the prevailing UGC Guidelines on curbing of Plagiarism.
- R.D.11.7: A Ph. D. Scholar shall submit four copies of the draft thesis to the University within the prescribed time limit for evaluation. The draft shall be printed on both sides of the paper and can be submitted in spiral bound form so that the changes / modifications suggested by the referees can be incorporated before submitting the hard-bound copies of the thesis. Two hard bound copies of the thesis printed on both sides of the paper along with a soft copy for electronic repository on the INFLIBNET shall be submitted immediately after the Viva Voce examination. The University shall issue the notification of the award of Ph. D after the receipt of hard bound copies along with the softcopy.
- R.D.11.8: The degree of Ph. D. shall not be conferred as an addendum degree.

R. D. 12. Evaluation and Assessment Methods:

- R.D.12.1: A panel of at least eight referees (four from other universities within the state and four from outside the state or foreign countries) shall be submitted by the Ph. D. Guide to the University for its approval. The Provost will select two external referees (one from the state and one from outside the state) from the panel. The referees shall examine the thesis and submit their individual reports clearly indicating rejection or acceptance of the thesis.
- R.D.12.2: The Ph. D. thesis submitted by a research scholar shall be evaluated by his/her Ph. D. Guide and at least two external referees as R. D. 13.1above.



- R.D.12.3: If both the external referees consider the thesis unsuitable for the award of the degree, no further process shall be done and the reports shall be placed before the Provost for final decision.
- R.D.12.4: In case of difference of opinion between the two external referees, the Provost shall appoint a third external referee from the panel of referees. If the third external referee reports that the thesis is unsuitable for the award of the degree, his report shall be placed before the Provost for a final decision.
- R.D.12.5: If any of the referees have suggested corrections, the candidate shall be required to incorporate them in the final draft of the thesis and will need to submit a corrected version of the thesis before / during the viva voce examination.
- R.D.12.6: A work that has been rejected can be resubmitted with due revision only after a period of six months and subject to the payment of necessary fees.

R. D. 13. Viva Voce Examination:

- R.D.13.1: If two external referees agree that there is a prima facie case for the award of the degree, the viva-voce examination of the Ph.D. scholar and open defence of the thesis shall be conducted by the internal and at least one external referee. The viva voce will be conducted in English (even in the case of foreign students with no translator appointed) except for the students who have registered in the subjects such as Hindi, Sanskrit, Gujarati and any other language. At least one of the two referees must be present at the viva-voce examination.
- R.D.13.1(a): For AICTE programmes an observer nominated by the registrar upon the recommendation of the University research committee shall remain present at the viva-voce exam. The observer for Ph.D. viva voce can be same as RPC observer or can be a new person on the discretion of University research committee.
- R.D.13.2: The viva-voce examination and open defence shall be notified widely.



R. D. 14. Ph.D. programmes at institutions other than P G Departments:

R.D.14.1: Colleges/Institutions/P. G. Centers affiliated to CVMU may be considered eligible to offer the Ph.D. programme if they satisfy the availability of eligible Research Guides, required infrastructure and supporting administrative and research promotion facilities as per the regulations, stipulated under sub-clause 15.3.

R.D.14.2: Postgraduate Centers affiliated to CVMU, Research laboratories of Government of India/State Government with at least two Ph. D. qualified teachers/scientists/ other academic staff in the department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these regulations, stipulated under sub-clause 15.3, shall be considered eligible to offer the Ph. D. programme. Recognized P. G. Centers/Institutions should additionally have the necessary recognition by the University as per CVMU rules (Section 46 I-IV) to offer Ph. D. programmes. Once a scholar is admitted with such a Centre/Institution/College, the sole responsibility for providing the necessary research facilities and conducting the required Pre-Ph.D. course work to the scholar shall lie with the Centre/research Institutions /College, and not with the University.

R.D.14.3: Recognized P. G. Centers / Research institutions of Government of India or State Government with adequate facilities for research as mentioned below may be recognized to offer Ph. D. programmes:

In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the University with provision for adequate space per research scholar along with computer facilities and essential software.

Necessary library resources including latest books and research journals, e-resources, extended working hours for all disciplines, adequate space for Ph. D. scholars in the Department / library for reading, writing and storage of research data and research materials.

The recognized Centers may also have access to the required facilities of the neighbouring R&D Institutions/Colleges, National, State level research laboratories/Organizations/ which have the required facilities.



- R.D.14.4: The National/Sate Government supported research institutes need to undertake an MOU or an agreement with the University under which the University shall recognize the Ph.D. programme of such laboratories/institutes.
- R.D.14.5: The MOU shall incorporate the clauses under the prevailing UGC guidelines for maintenance of standards in Higher education institutions such as formation of Research Clusters amongst the Universities / Colleges/Research Institutions within the state for sharing research facilities, human resources, skills and infrastructure to ensure optimal utilization of resources and to create synergies among researchers in the higher education institutions.

R. D. 15. Provisions Exclusively applicable to the Faculty of Ayurveda

Note: All provisions for admission to Ph. D. programmes in other faculties, except for the following provisions exclusively applicable to the Faculty of Ayurveda in this University, shall also be applicable to the Faculty of Ayurveda.

R. D. 15.1 Eligibility Criteria for Recognition as Ph. D. Supervisor:

A faculty member who holds a Ph. D. Degree or MS/MD/DM/CCIM in the subject or in a related discipline is eligible to apply for recognition as a Research Supervisor. He/she shall apply for recognition as Research Supervisor for Ph. D. in the prescribed format available on the University Website.

He/she should have a minimum of five publications in a peer reviewed national/ international journal with ISSN (as prescribed by this University/ UGC/CCIM); or be a Postgraduate Supervisor who has supervised at least 5 (five) MD/MS theses to his/her credit.

For Ph. D. Research proposals from teachers other than the Faculty of Ayurveda who wish to undertake interdisciplinary research, the Faculty Research Advisory Committee shall appoint a Co-Supervisor from the Faculty of Ayurveda depending on the nature of the Research Proposal.

For Ph. D. Research proposals of interdisciplinary nature made by the teachers in paramedical disciplines (Physiotherapy, Nursing, Medical Technology) to the Faculty of Ayurveda, teachers with Ph. D. /MD/MS/CCIM/DM qualification in the related subject/s from the faculty of Ayurveda, may be appointed as a Ph. D. Supervisor. A



Co-Supervisor of the related Medical subject of the Ph. D. Research shall be appointed at the discretion of the FRAC even when a recognized Ph. D. Supervisor is available in the said paramedical field, depending on the nature of the Research Proposal.

In case of subjects and the Ph. D. Supervisor, coming under the purview of CCIM, i.e. MD/MS/P.G. Medical Diploma/MSc (Medical), the Ph.D. Supervisor shall have not less than fifteen years teaching experience after obtaining his postgraduate qualification of which the Ph. D. Supervisor must have, not less than ten years postgraduate teaching experience, in CCIM recognized Institutions/s.

R. D. 15.2 Eligibility Criteria for admission to Ph. D. Programme:

Candidates, who possess MD / MS /P. G. Medical Diploma / MPT are eligible to apply for Ph. D. under the Faculty of Ayurveda.

Ph. D. shall be awarded in the concerned/Allied subject under the recognized Supervisor.

The period of training for Ph. D. shall not be less than two (2) years for candidates who possess MD/MS and P.G. Medical diploma.

R. D. 15.3 Eligibility Criteria for Research Guide:

A recognized P. G. Supervisor shall register a P. G. scholar for MD/MS/Diploma as per the latest guidelines of the MCI in vogue. However, he/she shall be allowed to take a maximum of Ph.D. Scholars as follows at any given point in time: (a) Professor – Four (4); (b) Associate Professor – Two (2); and (c) Assistant Professor – One (1)

However, for subjects and for Ph. D. Supervisors who do not come under the purview of CCIM, a P. G. Supervisor may be allowed to register a maximum of Ph.D. Scholars as follows at any given point in time: Professor – Six (6); Associate Professor – Three (3); and Assistant Professor – One (1).

A seat shall be considered to be vacant after a registered research scholar submits his/her Synopsis for Ph.D.

R. D. 15.4 Faculty Research Advisory Committee and its Functions:

In case of the Faculty of Ayurveda, the Research Advisory Committee of the Faculty of Ayurveda shall be constituted of the following members:



(1) Dean, Faculty of Ayurveda (Chairperson); (2) Dean/Principal, Medical College; (3) Heads of the concerned Departments; (4) Professor, P G Department of Medical College; and (5) One expert in the relevant subject from outside the Department.

R.D. 16. Award of Ph. D. Degree and Notification:

R.D.16.1: The award of degree to candidates registered for the Ph. D. Programme prior to the date of these Regulations shall be governed by the provisions of R. D. rules of the University prevailing at the time of registration.

R.D.16.2: Prior to the actual award of the degree certificate, the University shall issue a notification and a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of the prevailing UGC Regulations.

R. D. 17. Depository on the INFLIBNET:

Following successful completion of the evaluation process and before the announcement of the award of the Ph. D. degree, the University shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the thesis as to make it accessible to all Universities/Institutions/Colleges.

R. D. 18. Adjudication:

The decision of the Provost shall be final and binding for all in the interpretation of any provision contained in these rules and regulations.

